



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of: **Kamper et al.**

Serial No.: **09/833,415**

Filed: **April 12, 2001**

For: **Midi-Enabled Real-Time Market Indicator**

§ Group Art Unit: **2161**

§

§ Examiner: **UNKNOWN**

§

§ Attorney Docket No.: **AUS920010080US1**

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Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on

06-22-01

By: Dell Whitton

Dell Whitton

**TRANSMITTAL DOCUMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

ENCLOSED HEREWITH:

- Response to Notice to File Corrected Application Papers;
- A copy of Notice to File Corrected Application Papers;
- Letter to the Official Draftsman;
- 5 pages of drawings; and
- Our return postcard.

No additional fees are believed to be necessary. If, however, any additional fees are required, I authorize the Commissioner to charge these fees which may be required to **Deposit Account No. 09-0447**. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to **Deposit Account No. 50-0392**.

Respectfully submitted,

Duke W. Yee

Duke W. Yee

Registration No. 34,285

CARSTENS, YEE & CAHOON, LLP

P.O. Box 802334

Dallas, Texas 75380

(972) 367-2001

ATTORNEY FOR APPLICANT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application: **Kamper et al.**

Serial No.: **09/833,415**

Filed: **April 12, 2001**

For: **Midi-Enabled Real-Time Market Indicator**

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Group Art Unit: **2161**

Examiner: **UNKNOWN**

Attorney Docket No.: **AUS920010080US1**

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By:

Dell Whitton  
Dell Whitton

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

In the Notice to File Corrected Application Papers, the application was designated as informal and substitutes drawings in compliance with 37 CFR 1.84. In response to this notice, applicants have submitted a letter to the Official Draftsman with substitute drawings along with a copy of the notice. No fees are believed to be necessary at this time. However, in the event that any fees are required for the prosecution of this application, please charge any necessary fees to **Deposit Account No. 09-0447**. No extension of time is believed to be necessary. If, however, an extension of time is

needed, the extension is requested. Please charge the fee for this extension to **Deposit Account No. 50-0392.**

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Duke W. Yee", is written over a horizontal line.

Duke W. Yee

Registration No. 34,285

**Carstens, Yee & Cahoon, LLP**

P.O. Box 802334

Dallas, Texas 75380

(972) 367-2001

ATTORNEY FOR APPLICANT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO. AUS920010080US1

In re Application of: **Kamper et al.**

Serial No. **09/833,415**

Filed: **April 12, 2001**

For: **Midi-Enabled Real-Time Market  
Indicator**

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Examiner: **Unknown**

Group Art Unit: **2161**

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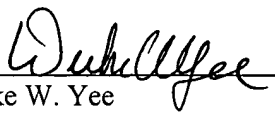
**LETTER TO THE OFFICIAL DRAFTSMAN**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Submitted herewith are formal drawings (5 sheets) for the above-identified application.

Respectfully submitted,

  
Duke W. Yee  
Registration No. 34,285  
Carstens, Yee & Cahoon, LLP  
P.O. Box 802334  
Dallas, Texas 75380  
(972) 367-2001  
ATTORNEY FOR APPLICANT

*I hereby certify this correspondence is being deposited with the United States Postal service as First Class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231*

on

*06/22/01*

by

*Dee Whitten*



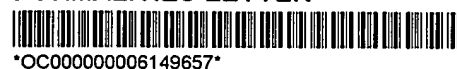
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/833,415	04/12/2001	Robert J. Kamper	AUS920010080US1

CONFIRMATION NO. 1589

## FORMALITIES LETTER



Duke W. Yee  
Carstens, Yee & Cahoon, LLP  
P.O. Box 802334  
Dallas, TX 75380

Date Mailed: 06/05/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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